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U.S. Department of Justice
United States Attorney

FILED
USDC WESTERN DISTRICT OF LA
INDOOR H. BELMONT, CLERK
DATE 5/26/04
BY [Signature]

UNITED STATES DISTRICT COURT WESTERN DISTRICT OF LOUISIANA

UNITED STATES OF AMERICA

v.

GREGORY JAMES CATON

LAKE CHARLES DIVISION
CRIMINAL NO. CR 2:04CR20075-01
JUDGE MELANÇON
MAGISTRATE JUDGE HILL

AFFIDAVIT OF UNDERSTANDING OF MAXIMUM PENALTY AND CONSTITUTIONAL RIGHTS

I, GREGORY JAMES CATON, the above named defendant, having been first duly sworn and placed under oath by the Clerk or his Deputy of the United States District Court, state that I have been advised and personally addressed by the Court (Judge) as to the nature of the charge against me and having been furnished a copy of the charge hereby state that I understand the charge and that the Court has addressed me personally as to the maximum possible penalty that may be imposed against me as follows:

MAXIMUM PENALTY: *Count 1. A fine of \$250,000.00, a term of imprisonment of not more than 5 years, a period of supervised release of not more than 3 years and a \$100.00 special assessment to the Crime/victim Fund.*

Count 2. A fine of \$250,000.00, a term of imprisonment of not more than 3 years, and a period of supervised release of not more than 1 year and a \$100.00 special assessment to the Crime/Victim Fund.

Count 3. Forfeiture of property and a \$100 special assessment fee.

I further state that I understand and the Court has addressed me personally at the arraignment proceeding as to:

- 1. My right not to be required to testify against myself or at all, if I do not so desire.*

(5)

2. My right to confront and cross-examine witnesses against me and my right to have compulsory process to require witnesses to testify.

3. My right to plead guilty or not guilty.

I realize that by pleading guilty I stand convicted of the crime charged and waive my privilege against self-incrimination, my right to jury trial, my right to confront and cross-examine witnesses, and my right of compulsory process.

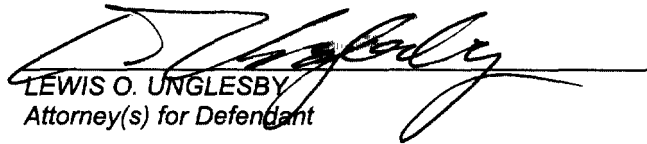
I further state that my plea in this matter is free and voluntary and that it has been made without any threats or inducements whatsoever from anyone associated with the State or United States Government and that the only reason I am pleading guilty is that I am in fact guilty as charged. The Court has given me the opportunity to make any statement desired.

Sworn to and subscribed before me this ___ day of May, 2004, in Open Court in Lafayette, Louisiana.



GREGORY JAMES CATON
Defendant

WITNESS:



LEWIS O. UNGLESBY
Attorney(s) for Defendant

CLERK OR DEPUTY CLERK OF COURT